

Government of India
Ministry of Water Resources, River Development & Ganga Rejuvenation

Shram Shakti Bhawan, Rafi Marg,
New Delhi, dated 21 July, 2017

OFFICE MEMORANDUM

Subject: Continuation of ongoing schemes beyond 12th Five Year Plan: Instructions regarding

The undersigned is directed to refer D.O letter no. 66(14)/PF-II/2017 dated 05.07.2017 received from Dr. Snadeep Dave, Joint Secretary (Expenditure) giving reference to D.O letter no. 66(14)/PF-II/2017 dated 30.06.2017 from Shri Ashok Lavasa, Finance Secretary asking to appraise schemes of Ministry by the end of July, 2017.

2. It is mentioned that comments on following schemes are been given by EFC cell (either to be appraised by EFC/SFC), but clarifications on the observations made are still awaited:

<ul style="list-style-type: none"> • DWRIS • River Basin Management (RBM) • Ground Water Management & Regulation 	To be appraised by EFC
<ul style="list-style-type: none"> • Implementation of NWM • HRD/CB • ID scheme • R&D scheme 	To be appraised by SFC

3. For the following schemes, no information is received by concerned SMDs for the appraisal:

<ul style="list-style-type: none"> • DRIP • RMBA • Flood Management Plan 	To be appraised by EFC
<ul style="list-style-type: none"> • Farakka Barrage Project • Flood Forecasting • Irrigation Census 	To be appraised by SFC

4. Third Party Evaluation is required in terms of Para 12 of Dept. of Expenditure OM dated 05.08.2016 for Appraisal and Approval of Public Funded Schemes and Projects for continuation of schemes from 12th Plan to remaining period of 14th FC (2017-2020). Continuation of any scheme beyond 12th Plan period will be contingent on the results of such evaluation.

5. In order to provide a uniform evaluation criterion for all schemes, it is felt that the TPA should cover the following aspects. These evaluation will be part of the SFC/EFCs and since appraisal would be done at the level of Finance Secretary/ Cabinet, the exercise should be carried out comprehensively. The following aspects should be covered under such evaluation.

- i. **Executive summary** – covering Key findings and glossary of terms used
- ii. **Scheme summary covering**
 - a) Background and objective;
 - b) 12th Plan approved outlay ;
 - c) Identified components of scheme;
 - d) Physical & financial progress under the said components;

iii. Evaluation

- a) Review evidence on outcomes of schemes, especially financial progress vs. physical progress. Whether the output is commensurate with the expenditure incurred;
- b) Comparison of performance with other sectoral/comparative schemes
- c) Reasons for shortfall if any
- d) Positive points/drawbacks

iv. Overview of findings and conclusion particularly vis-à-vis

- a) Outcome assessment of performance in 12th Plan
- b) Need for continuation of specific components under the scheme and possible merger with other MOWR, RD&GR schemes.
- c) Inputs for improvement
- d) Identification of quantifiable and monitorable parameters under the scheme.

v. Recommendation as to continuation of scheme beyond 12th Plan with existing/merged/new components with quantifiable parameters for benchmarking success in 14th FC (2017-2020).

If the scheme is recommended for continuation, the improvements in the process, implementation mechanism, guidelines etc. should be suggested.


vi. While making recommendations to continue the scheme, following points should be specifically addressed:

- a) why is the scheme being continued;
- b) certifying that there is no convergence either with any of the schemes of MoWR or any other scheme of the Government of India;
- c) Keeping in view the funds allocation in the last two years and the current year, the projection of the funds should be made and prioritizing the allocations in different projects/components;
- d) Improvements proposed in the guidelines, processes, implementation mechanism, monitoring to make scheme more effective;
- e) How will Outcomes be judged?

6. It is requested from all the concerned SMDs to pace up the work for the appraisal of schemes for the remaining 14th FC period, so that the exercise of appraisal and continuation of schemes is completed in stipulated time.

7. This may please be accorded TOP PRIORITY.

8. This issues with approval of JS&FA (MoWR, RD&GR)


(Ch. I. Priyadarshini)
Section Officer (FD-I)
Tel. 23719302

To,

Ministry of Water Resources

1. Joint Secretary (Admn), Ministry of Water Resources, Shram Shakti Bhavan, New Delhi. [in relation to Ground Water Management & Regulation, HRD & Capacity Building and Infrastructure Development Scheme]
2. Joint Secretary (PP), Ministry of Water Resources, Shram Shakti Bhavan, New Delhi [In relation to DRIP, R&D, River Basin Management and DWRIS. Also Major and Medium Irrigation (CWC part) which is now part of Irrigation Census].
3. Commissioner (SPR), Ministry of Water Resources, New Delhi [In relation to Polavaram Project Authority and Impact Assessment Studies].

4. Economic Advisor, Ministry of Water Resources, Shastri Bhavan, New Delhi [In relation to Impact Assessment Studies].

Copy along with enclosures also to:

1. Sr. PPS to Secretary (WR, RD&GR)
2. PPS to JS&FA
3. Director (Tech.) – with request to put the orders on web-site of MOWR


(Ch. I. Priyadarshini)
Section Officer (FD-I)
Tel. 23719302

अशोक लवासा

वित्त सचिव

Ashok Lavasa

Finance Secretary



भारत सरकार

वित्त मंत्रालय

व्यय विभाग

GOVERNMENT OF INDIA

MINISTRY OF FINANCE

DEPARTMENT OF EXPENDITURE

30th June, 2017

D.O.No.66(14)/PF-II/2017

Dear Dr. Singh,

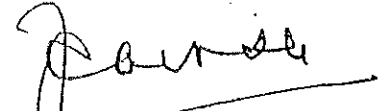
Please refer to the OMs dated 5th August, 2016, 23rd February, 2017 and 27th March, 2017 with regard to the appraisal of schemes which are to be continued beyond the 12th Plan and till the 14th FC period. While the continuation of the schemes has been extended till 30th September, 2017, these schemes, depending upon their size have to be appraised either by the EFC or SFC. Needless to add that, those schemes that emanate from legislation or already have been appraised for continuation beyond 2019-20, need not be appraised.

2. I understand that a total of 6 schemes of your Department/Ministry are to be appraised by the EFC. In order to avoid an unnecessary rush towards the end of the September window, I request you to ensure that all your schemes are appraised by the end of July, 2017 and taken up for appraisal.

3. I would appreciate if you personally review the matter and apprise me of the status and action plan pertaining to such schemes within a week.

with best wishes,

Yours sincerely,


(Ashok Lavasa)

Dr. Amarjit Singh

Secretary

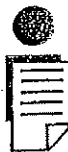
Ministry of Water Resources, River Development & Ganga Rejuvenation

Shram Shakti Bhawan

Rafi Marg,

New Delhi.

copy to: Financial Adviser, M/o WR, RD & GR



सूचना का

अधिकार

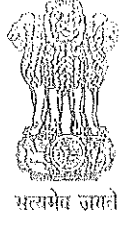
RIGHT TO
INFORMATION

कमरा नं. 129-A, नार्थ ब्लॉक, नई दिल्ली-110001

Room No. 129-A, North Block, New Delhi-110001

Tel. : 23092929, 23092563, Fax : 23092546

E-mail : secyexp@nic.in Website : www.finmin.nic.in



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वित्त मंत्रालय
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GOVERNMENT OF INDIA
MINISTRY OF FINANCE
DEPARTMENT OF EXPENDITURE
नई दिल्ली / New Delhi-110 001

Dr. Sandeep Dave
Joint Secretary
Tele No: 23093052

*e-office-469780/2017/Finance
11/7/17*

D.O.No. 66(14)/PF-II/2017

5th July, 2017

Dear Madam/Sir,

Please find attached D.O letter dated 30th June, 2017 written by Finance Secretary to all the concerned Secretaries regarding the appraisal of schemes which are to be continued beyond the 12th Plan and till the 14th Finance Commission period for information and appropriate action.

With Regards,

Encl: As above

Yours sincerely,

[Signature]
(Sandeep Dave)

[Signature]

[Signature]
11/07/2017

US (Bf/120)

*input to
[Signature]
12/1
50000 12/7/17 MS*

Financial Advisers of the concerned Ministries/Departments (As per list enclosed)

Copy for information to:

Joint Secretary (PF-C-II), Department of Expenditure.