

No. 1/23/2015-Bud/312
Government of India
Ministry of Water Resources,
River Development & Ganga Rejuvenation
(Budget Section)

New Delhi, Dated 04.01.2016

Office Memorandum

Sub:- Preparation of Outcome Budget 2016-17 of MoWR, RD & GR –reg.

The undersigned is directed to inform that Outcome Budget of the Ministry is required to be presented to Lok Sabha Secretariat (Standing Committee on Water Resources Branch).

2. As per the guidelines issued by the Ministry of Finance (Department of Expenditure) by O.M. dated 1st January, 2013, every Ministry/Department is required to prepare its Outcome Budget. This will broadly indicate physical dimensions of the financial budgets and also the actual physical performance in 2014-15, performance upto 31st December, 2015 and the targeted performance during 2016-17.
3. As far as feasible, sub-targets for coverage of women and SC/ST beneficiaries under various developmental schemes and the schemes for the benefit of the North-Eastern Region should be separately indicated.
4. The Outcome budget 2016-17 will be prepared on the basis of Budget 2016-17 in the form of a document, separate for each Ministry/ Department, broadly consisting of the chapters detailed below:
5. **Executive summary:** Apart from summarizing relevant chapters, this portion should prominently highlight the details of the monitoring mechanism and the public information system put in place by the Ministry/Department to regularly monitor physical and financial progress during the course of the year and inform the general public about it.

Chapter I: A brief introductory note on the functions of the Ministry/Department, organizational set up, list of major programmes/schemes implemented by the Ministry/department, its mandate, goals and policy framework. This introduction should highlight, in particular, if the Ministry has goals or major programmes or schemes in respect of women/gender equality.

(Action: All SMDs)

Chapter II: This will contain a tabular format, which may be visualized as “vertical compression and horizontal expansion” of the Statement of Budget Estimate (SBE) included in the Expenditure Budget Vol. II (with suitably adapted format for Railways).

There may be separate tables for separate Demands /appropriations controlled by the Ministry/Department. The main objective is to establish a one-to-one correspondence between (financial) Budget 2016-17 and Outcome Budget 2016-17. The details will comprise of the financial outlays, projected physical outputs and projected/budgeted outcomes (intermediate /partial and final, as the case may be). Projected physical output should be disaggregated by sex, wherever possible and appropriate i.e where delivery is to individuals. An indicative format for these Demands /appropriation-wise tables is enclosed **Annexure-A**. Explanatory notes may be liberally added, wherever necessary.

(Action: PP Wing)

Chapter III: This will detail reform measures and policy initiatives, if any, taken by the Ministry/department and how they relate to the intermediate outputs and final outcomes in areas such as public private partnerships, alternate delivery mechanism, social and women's empowerment processes, greater decentralization, transparency etc.

(Action: All SMDs)

Chapter IV: Review of past performance: At the very least, this should indicate the performance during 2014-15 and 2015-16 (upto December, 2015) in terms of targets already set. The analysis of physical performance should be Scheme wise with the reasons for variations; explaining the scope and objectives of individual programs/schemes, giving their physical targets and achievements. Indicators of performance relating to individuals should be sex-disaggregated.

As per the instructions of Parliamentary Committee on Water Resources, 'Outcome Budget' of this Ministry may be prepared as a comprehensive annual document indicating clearly all the work executed, physical, as well as, financial targets fixed, results achieved, funds allocated and expenses incurred on each Project/Scheme of the Ministry during the year. All the SMDs/Organizations are, therefore requested to furnish information with regard to expenses incurred on each project/scheme of the Ministry during the years 2014-15 and 2015-16 (upto December, 2015) under remarks column (in the existing format of Annexure –I & II of Chapter-IV) alongwith the reasons for variation.

(Action: All Wings/Organizations under the Ministry)

Chapter V: Financial Review covering: overall trends in expenditure vis-à-vis Budget Estimates/Revised Estimates in recent years, including the current year. Data should be segregated scheme-wise, object head-wise, and institution wise in the case of autonomous institutions. Position of outstanding utilization certificates and unspent balances with States and implementation agencies should also be brought out.

(Action: Office of C.A., PP Wing, FM Wing, Pen. River Wing & MI Division)

Chapter VI: Review of performance of Statutory and Autonomous Bodies under the administrative control of the Ministry/department. The same principles as in reporting on the Ministry's own performance should be followed. These principles include sex disaggregation of performance indicators relating to individuals.

(Action: All concerned Wings/Organizations under the Ministry)

It is requested that material for inclusion in the "Outcome Budget 2016-17" may kindly be sent to Budget Section in accordance with the above guidelines by the 10th January, 2016 positively. It is also requested that the material should be vetted by concerned SMD before the same is forwarded to Budget Division of this Ministry. A CD/Pen drive having the material may also kindly be sent along with the hard copy to facilitate compilation of the document. The material may also be sent by e-mail to budget-mowr@nic.in. Further, it is added that in case fresh guidelines on preparation of Outcome Budget is received from Ministry of Finance, the same will be circulated amongst all concerned for furnishing additional/supplementary information, if necessary.

Encl: As above.



(Y.P. Yadav)
Under Secretary (Budget)
Tel.No.23711486

To

All Wing /Organization Heads (As per list attached).

Copy for necessary action to:-

ADG(Stat)/ Dir(GA)/Dir(ID)/Director(PP)/Dir(E-II)/Dir(Estt-I.)/Dir.(NWM)
/Dir.(WQ)/ Dir(GW)/Dir.(Stat)/Dir(E-III)/Dir(CAD)/DS(Coord)/ Dir(Admn.)/ Sr.
Hydrogeogist(HP) / SJC(B&B)/Sr.JC(MI)/ Sr.JC(Indus)/ Sr.JC(PP)/Sr.JC(BM)/
Sr.JC(CAD)/ Sr.JC(Pen.Riv) /Sr.JC (FM)/Sr.JC(SPR)/Sr.JC(PR)/DC (BM), MoWR.

Annexure-A

FORMAT OF TABLES IN CHAPTER II OF OUTCOME BUDGET 2015-16

S. No.	Name of Scheme / Programme	Objective / Outcome	Outlay 2015-16			Quantifiable Deliverables / Physical Outputs	Projected Outcomes	Processes / Timelines	Remarks / Risk Factors
			4(i)	4(ii)	4(iii)				
1	2	3				5	6	7	8
			Non-Plan Budget	Plan Budget	Complementary Extra-Budgetary Resources				

Notes:

1. Items in Column 2 shall be as per Statement of Budget Estimate (SBE) included in Expenditure Budget Vol.II. Major programmes listed in the SBE must be shown separately, while smaller items of SBE may be conveniently clubbed. An exercise to weed out schemes with sub-critical financial outlays or merge them appropriately into major programmes is separately being undertaken.
 2. Figures in Column 4(i) and 4(ii) as per Statement of Budget Estimate (SBE) included in Expenditure Budget Vol.II with Plan Budget figure included the amount allocable for NE out of lump sum allocation.
- Figures in Column 4(iii) complementary extra- budgetary resources means expenditure committed for the purpose by entities other than the Central Government. Typically, it would include matching share from the State Government for Centrally sponsored schemes or resources contribution by public sector undertakings or resources contributed by private parties in the case of public private partnership projects. Thus, it will include the IEBR figure in respect of CPSEs as per Statement of Budget Estimate included in Expenditure Budget Vol. II, which may be explained through a footnote.

Performance of 2014-15

Annexure-I
(Chapter-IV)

Sl. No.	Name of Scheme / Programme	Objective/ Outcome	BE 2014-15	Quantifiable Deliverables/ Physical Outputs	Process/ Timelines	Achievements w.r.t Col (5) as on 31.03.2015	Remarks/ Risk Factor
1	2	3	4	5	6	7	8

Performance of 2015-16

Annexure-II
(Chapter-IV)

Sl. No.	Name of Scheme / Programme	Objective/ Outcome	BE 2015-16	Quantifiable Deliverables/ Physical Outputs	Process/ Timelines	Achievements w.r.t Col (5) as on 31.12.2015	Remarks/ Risk Factor
1	2	3	4	5	6	7	8

1. JS (A), MoWR, RD & GR
2. JS (PP) , MoWR, RD & GR
3. Commissioner(SP), MoWR, RD & GR
4. Economic Adviser, B-Wing Shastri Bhawan, New Delhi
5. Commissioner(FM), MoWR,CGO Complex, Lodhi Road, New Delhi
6. Commissioner(Indus), MoWR, CGO Complex, Lodhi Road, New Delhi
7. Commissioner(Pen.Riv), MoWR, RD & GR, Krishi Bhawan , New Delhi
8. Commissioner(B&B), MoWR, RD & GR, CGO Complex, Lodhi Road, ND
9. Director (NMCG). CGO Complex, Lodhi Road New Delhi.
10. Director, R&D, CWC, Sewa Bhawan, RK Puram
11. Director, General, NWDA, Saket, ND
12. Directo, CSMRS, Hauz Khas, ND
13. Directo-in-charge, CWPRS, Pune
14. Director, NIH, Roorkee-247667
15. Registrar, RBWT, RK Puram
16. Registrar, KWDT, BCP, ND
17. Registrar, MWDT, Janpath
18. Registrar, VWDT,
19. Registrar, CWDT, Janpath
20. CMD, WAPCOS,KG Marg
21. CMD, NPCC, Haryana- 121004
22. MS, UYRB, RK Puram
23. CA, MoWR, RD & GR, Shastri Bhawan.
24. Chairman, B&B, Assam-29
25. Chairman, CGWB, Haryana-121001
26. Secretary, BCB, M.P-486005
27. GM, FBP,West Bengal-742212
28. Secretary, SSCAC, Vadodara-390001
29. Chairman, GFCC, Patna-800015
30. CE, BRB, Jhansi-284003
31. CE, NWA, CWC, Pune
32. Executive Member, NCA, Vijay Nagar (Indore, Madhya Pradesh -452012)
33. Chairman, Tungabhadra Board, Karnataka
34. Chairman, CWC, RK Puram, ND
35. ADG. (Stat.) MoWR, RD & GR, Lok Nayak Bhawan, Khan Market New Delhi
36. Pay & Accounts Officer, Shastri Bhawan
37. Cash Section, MoWR, RD&GR, Shastri Bhawan New Delhi